SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A Patz Harr	ddress of Repor <mark>y Jr</mark>	ting Person [*]	2. Date of Even Requiring State (Month/Day/Yea 08/02/2016	ment	3. Issuer Name and Ticker or Trading Symbol RUBICON PROJECT, INC. [RUBI]					
(Last) C/O THE RU	ast) (First) (Middle)				4. Relationship of Reporting Pers (Check all applicable) Director	10% Own	er 6. Ir	5. If Amendment, Date of Original Filed (Month/Day/Year)6. Individual or Joint/Group Filing (Check		
12181 BLU		AIVE, 4TH FL			X Officer (give title below) Chief Revenue C	Other (spe below) Officer		Applicable Line) X Form filed by One Reporting Person		
(Street) LOS CA 90094 ANGELES								Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
			Table I - No	n-Derivati	ive Securities Beneficiall	y Owned				
1. Title of Security (Instr. 4)					. Amount of Securities eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					100,000 ⁽¹⁾	D				
					e Securities Beneficially nts, options, convertible		s)			
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securities Underlying Derivative Security (Ins		4. Conversion or	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Exercise Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)			
Stock Option	(right to buy)	(2)	05/16/2026	Common Stock	40,000	14.31	D		

Explanation of Responses:

1. Represents restricted stock units that vest as follows: 25,000 RSUs on May 15, 2017 and 12,500 RSUs on each November 15 and May 15 thereafter until May 15, 2020.

2. The option, representing a right to purchase a total of 40,000 shares, becomes exercisable with respect to 25% of the grant on April 4, 2017 and becomes exercisable with respect to the remainder in 36 equal installments each calendar month thereafter.

Remarks:

Jonathan Feldman, attorney-08/02/2016 in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL

POWER OF ATTORNEY

FOR SEC FILINGS ON FORMS ID, 3, 4, 5 AND 144 IN RESPECT OF SECURITIES OF THE RUBICON PROJECT, INC.

The undersigned hereby constitutes and appoints Brian Copple, David Day and Jonathan Feldman, and each of them, as his true and lawful attorney-in-fact and agent, with full power of substitution and resubstitutio

- (i)
- any Form ID to be filed with the Securities and Exchange Commission (the SEC); any Initial Statement of Beneficial Ownership of Securities on Form 3 to be filed with the SEC; any Statement of Changes of Beneficial Ownership of Securities on Form 4 to be filed with the SEC; any Notice of Proposed Sale of Securities on Form 5 to be filed with the SEC; any any and all agreements, certificates, receipts, or other documents in connection threwith.
- (ii) (iii) (iv) (v)
- (vi)

The undersigned hereby gives full power and authority to the attorney-in-fact to seek and obtain as the undersignedTMs representative and on the undersignedTMs behalf, information on transactions in the CompanyTMs securit

The undersigned hereby grants unto such attorney-in-fact and agent full power and authority to do and perform each and every act and thing requisite and necessary in connection with such matters and hereby ratifies and co

The undersigned acknowledges that:

(i) neither the Company nor such attorney-in-fact assumes (i) any liability for the undersignedTMs responsibility to comply with the requirement of the Securities Exchange Act of 1934, as amended (the Exchange Act), (i

(ii) this Power of Attorney does not relieve the undersigned from responsibility for compliance with the undersignedTMs obligations under the Exchange Act, including without limitation the reporting requirements under This Power of Attorney shall remain in full force and effect until revoked by the undersigned in a signed writing delivered to such attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has executed this Power of Attorney.

Date: July 25, 2016 /s/ Harry Patz, Jr.

Harry Patz, Jr.